



6P/2182  
#2  
BT

1284-5L

PATENT  
TUC920010103US1  
0018.0108

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Examiner: Not Yet Assigned  
D.R. Kahler et al. )  
Serial No.: 09/998,370 )  
Filed: November 29, 2001 ) Art Unit: 2182  
For: METHOD, SYSTEM, AND )  
PROGRAM FOR ERROR )  
HANDLING IN A DUAL )  
ADAPTOR SYSTEM )

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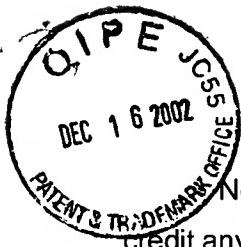
Technology Center 2100

Assistant Commissioner of Patents  
Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

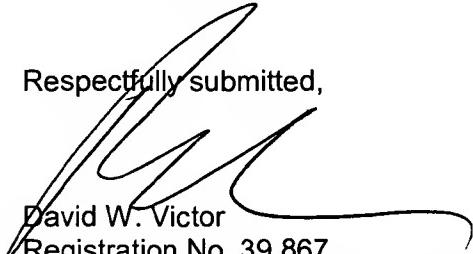
Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application. Applicants submit that no fee is needed nor should be charged with the submittal of this Information Disclosure Statement (IDS) because this IDS is being submitted under 1.97(b) in that the IDS is being filed before the mailing date of a first Office Action on the merits or within three months of the filing date of a national application other than a continued prosecution application under 1.53(d). Applicants submit that a first Office Action has not been received in the above referenced case.



Nonetheless, should any fee be deemed necessary, please charge any insufficiency or credit any overpayment to Deposit Account No. 50-0585. A duplicate of this letter is enclosed for fee processing.

Respectfully submitted,

  
David W. Victor

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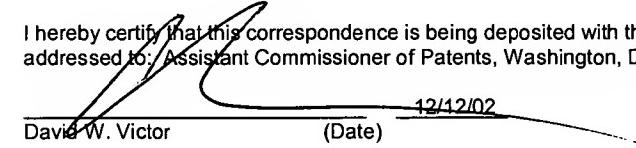
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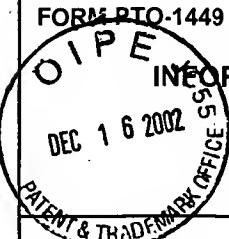
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David W. Victor

(Date)

12/12/02



~~FORM PTO-1449~~

**INFORMATION DISCLOSURE CITATION  
IN AN APPLICATION**

(Use several sheets if necessary)

**Docket Number**  
TUC920010103US1

**Application Number**  
09/998,370

**Applicant**  
D.R. Kahler et al.

**Filing Date**  
November 29, 2001

**Group Art Unit  
2182**

## **U.S. PATENT DOCUMENTS**

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## FOREIGN PATENT DOCUMENTS

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

- |  |   |
|--|---|
|  | American National Standard for Information Technology. "Serial Storage Architecture- Transport Layer 2 (SSA-TL2)", Revision 5b, April 1, 1997, pp 1-107.  |
|  | Blunden, M. and P. Staples. "Understanding SSA Subsystems in Your Environment". © International Business Machines Corporation 2000. 1 <sup>st</sup> Ed., April 2000. pp. 1-151.   |
|  | Judd, I.D., P.J. Murfet, and M.J. Palmer. "Serial Storage Architecture", <i>IBM Journal of Research and Development</i> . [online] Volume 40, Number 6, 1996. [Retrieved on 12 November 2001]. Retrieved from the Internet at <URL: <a href="http://www.research.ibm.com/journal/rd/406/judd.html">http://www.research.ibm.com/journal/rd/406/judd.html</a> > |
|  | IBM Corp. "Serial Storage Architecture: A High-Performance Open Storage Interface", [online] © International Business Machines Corporation 1997. [Retrieved on 11 November 2001]. Retrieved from the Internet at <URL: <a href="http://ssdweb01.storage.ibm.com/hardsoft/ssaovu.htm">http://ssdweb01.storage.ibm.com/hardsoft/ssaovu.htm</a> >                |
|  | U.S. Patent Application Serial No.,09/998,463, filed on November 29, 2001, entitled "Method, System, and Program for Error Handling in a Dual Adaptor System Where One Adaptor is a Master", invented by M.J. Fairhurst, M.J. Jones, V.J. Legvold, and M.P. Vageline.   |

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.